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HANKEL OPERATORS ON COPSON SPACES

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Abstract

We investigate the necessary and sufficient conditions in order that a Hankel operator on the space H_d^2 , isomorphic to *Copson space* cop(2), belongs to some operator ideals such as that of all linear bounded operators, of all compact operators, of all nuclear operators etc.

1. Introduction

In [10], we introduced the scale of spaces

$$H_d^p := Sp(\mathcal{M}_d^+) = \mathcal{M}_d^+ \cap H^p - \mathcal{M}_d^+ \cap H^p, 1 \le p < \infty,$$

where $Sp(\mathcal{M}_d^+)$ is the space generated by \mathcal{M}_d^+ . These spaces are Banach lattices with respect to the cone $\mathcal{M}_d^+ \cap H^p$, where

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$$\mathcal{M}_d^+ = \{f(z) = \sum_{k=1}^\infty a_k z^k; |z| < 1, f \text{ analytic, and } a_k \downarrow_k 0\},$$

of all analytic functions from the classical Hardy spaces H^p , $1 \le p < \infty$, with a decreasing sequence of positive Taylor coefficients. In that paper we proved that the Hankel operators with a symbol having also a decreasing sequence of positive Taylor coefficients act boundedly on H_d^2 if and only if the symbol belongs to the $BMOA_d$, the subspace of the classical Banach space BMOA as defined in [12], generated by the cone $\mathcal{M}_d^+ \cap BMOA$.

It is perhaps of interest to remark that H_d^2 is topologically isomorphic to the classical *Copson space* cop(2), as defined in [2].

This paper is a continuation of [10], and we intend to give necessary and sufficient conditions in order that a Hankel operator as above belongs to different operator ideals like the ideal of compact operators, of nuclear operators, or to the ideal N_2 , as defined in [8].

2. Solid Spaces of Sequences

First we recall the definition of the smallest solid superset S(X), of a Banach space of sequences X. (See [1], [4].)

 $S(X) \coloneqq \{a = (a_n)_{n \ge 0} : \exists b \in X \text{ such that } |b_n| \ge |a_n| \text{ for all } n\}.$

The norm on S(X) is the following (see [4]):

 $\|a\|_{S(X)}\coloneqq\inf\{\|b\|_X\,:\,\text{for all }b\in X\,\,\text{such that }|b_n|\geq |a_n|\forall n\}.$

We use also the space d(a, p) introduced by Bennett in [2]:

$$d(a, p) \coloneqq \{x = (x_n) : \text{ such that } \|x\|_{d(a, p)} \coloneqq \left(\sum_{k=1}^{\infty} a_k \sup_{n \ge k} |x_n|^p\right)^{1/p} < \infty\},\$$

where $a = (a_1, a_2, ...), a_n \ge 0$, and $1 \le p < \infty$. In the particular case $a_n = 1, \forall n$, we denote d(a, p) simply by d(p).

Another space of interest introduced in [2] is $g(a, p) := \{x : \sum_{k=1}^{n} |x_k|^p = \mathcal{O}(A_n)\}$. Here $a = (a_1, a_2, ...)$, where $A_n = a_1 + a_2 + \dots + a_n$.

Of course, the norm of g(a, p) is given by

$$\|x\|_{g(a, p)} = \sup_{n} \left(\frac{1}{A_n} \sum_{k=1}^n |x_k|^p\right)^{1/p}.$$

The following result is valid for all 0 :

Theorem 2.1. Let $0 . Then <math>S(H_d^p) = d(a, p)$, where $a_n = n^{p-2}$, $\forall n$, with equivalent norms.

Proof. Let $x = (x_n)_n \in d(a, p)$, and $y = (y_n)_n \in H_d^p$. Then $\sum_n n^{p-2} \sup_{k \ge n} |x_k|^p = ||x||_{d(a,p)}^p < \infty$, and, for $y_n := \sup_{k \ge n} |x_k|$, for all n, we have $y_n \downarrow_n 0, |x_n| \le |y_n| \forall n$, and $y \in H_d^p \cap \mathcal{M}_d^+$, consequently $x \in S(H_d^p)$.

In other words

$$d(a, p) \subset S(H_d^p),$$

and, moreover, $||x||_{S(H_d^p)} \le ||y||_{H_d^p} = ||x||_{d(a,p)}$.

Since by using Theorem 6.1 -[4], $S(H_d^p)$ is the smallest Banach lattice containing H_d^p , and d(a, p) is a Banach lattice containing H_d^p , then

$$S(H_d^p) \subset d(a, p).$$

Thus

$$S(H_d^p) = d(a, p),$$

and their corresponding norms are equivalent by closed graph theorem and by inequality $||x||_{S(H^p_d)} \leq ||x||_{d(a,p)}$.

Also the study of the largest solid subset of a space X, denoted by s(X), where X is a Banach space of sequences, is of interest as showed in [1].

In what follows we describe the largest solid subspace of the topological dual of H^p_d , $1 \le p < \infty$.

We denote by A^* the topological dual of the Banach space of sequences A.

First we prove a lemma:

Lemma 2.2. The space of all coefficient multipliers from ℓ^{∞} into $(H_d^p)^*$, denoted by $(\ell^{\infty}, (H_d^p)^*)$, coincides with the corresponding space (H_d^p, ℓ^1) .

Proof. Let $m = (m_n)_n$ be a multiplier belonging to $(\ell^{\infty}, (H_d^p)^*)$, that is $(m_n a_n)_n \in (H_d^p)^* \forall (a_n)_n \in \ell^{\infty}$.

Let $(b_n)_n \in H_d^p$. The canonical sequence $(e_n)_n$ is a non-normalized Schauder basis of H_d^p , $1 \le p < \infty$, by [10]. Consequently $\sum_n m_n a_n b_n$ is a convergent series $\forall (b_n)_n \in H_d^p$, and $\forall (a_n)_n \in \ell^\infty$.

Thus fix an arbitrary $(b_n)_n \in H^p_d$, and take $a_n = \operatorname{sign}(b_n m_n)$, $\forall n$. Then we have that $\sum_n |m_n| |b_n| < \infty$, $\forall (b_n)_n \in H^p_d$, that is $m \in (H^p_d, \ell^1)$.

In other words $(\ell^{\infty}, (H^p_d)^*) \subset (H^p_d, \ell^1).$

Conversely, let $m \in (H_d^p, \ell^1)$, and $a = (a_n) \in \ell^{\infty}$. Then, for $b = (b_n)_n \in H_d^p$, we have:

$$(m_n b_n)_n \in \ell^1 \text{ implies } \sum_n |m_n| |b_n| < \infty \text{ thus } \sum_n |m_n| |a_n| |b_n| < \infty,$$

 $\forall a \in \ell^{\infty}, b \in H_d^p$, which in turn implies $(m_n a_n)_n \in (H_d^p)^* \forall (a_n)_n \in \ell^{\infty}$. It follows

$$m \in (\ell^{\infty}, (H_d^p)^*).$$

Theorem 2.3. $s((H_d^1)^*) = g(a, 1)$, where $a_n = (\frac{1}{n})_n$, and $g(a, 1) = \{x = (x_n); \|x\|_{g(a, 1)} = \sup_{n \ge 0} \frac{\sum_{k=1}^n |x_k|}{\ln(n+1)} < \infty\}$, with the equivalent norms.

Proof. It is easy to see that $s((H_d^1)^*) = (\ell^{\infty}, (H_d^1)^*)$. (See [1].)

By using the previous lemma and [4] we have that $s((H_d^1)^*) = (H_d^1, \ell^1)$ = $(S(H_d^1), \ell^1)$.

Now by Theorem 2.1 and by Theorem 3.8 - [2], it follows that $((H_d^1)^*) = (d(a, 1), \ell^1) = g(a, 1).$

In the case 1 , we have:

Theorem 2.4. Let $1 , <math>\frac{1}{p} + \frac{1}{q} = 1$. Then

$$s((H_d^p)^*) = \ell^q \cdot g(a, p),$$

where $\ell^q \cdot g(a, p)$ means the space of all sequences $x \cdot y$, with $x \in \ell^q$, $y \in g(a, p)$.

Proof. Similarly with the proof of Theorem 2.3 we have

$$s((H_d^p)^*) = (d(a, p), \ell^1) = d(a, p)^{\times},$$

where the last term is the Köthe dual of d(a, p).

But, by using Theorem 12.3 -[2], we get the result.

First recall that, for 0 ,

$$\exp\left(p\right) \coloneqq \left\{ x = \left(x_k\right)_k : \sum_{k=0}^{\infty} \left(\sum_{k=n}^{\infty} \frac{|x_k|}{k+1}\right)^p < \infty \right\}, \text{ with the quasi-norm}$$

$$\|\boldsymbol{x}\|_{\operatorname{cop}}(p) = \left(\sum_{n=0}^{\infty} \left(\sum_{k=n}^{\infty} \frac{|\boldsymbol{x}_k|}{k+1}\right)^p\right)^{1/p}.$$

We recall that by the space bv we mean the Banach space of sequences of real numbers $a = (a_n)_{n \ge 0}$ with bounded variation $\|a\|_{bv_0} := |\alpha| + \sum_{n=0}^{\infty} |a_n - a_{n+1}|$, where $\lim_n a_n = \alpha$.

It is well-known and easy to prove that bv is a vector lattice for the order induced by the cone $C := \{a = (a_n)_{n \ge 0}, a_n \downarrow_n \alpha \ge 0\}.$

For the convenience of the reader we sketch the argument of this: First the cone C is a lattice cone.

For instance if $a, b \in C$, then $\sup(a, b) := a \lor_C b \in C$ is given by

$$a \vee_C b \coloneqq (c_n)_n$$
, where $c_n = \sum_{k=n}^{\infty} (a_k - a_{k+1}) \vee (b_k - b_{k+1}), \forall k \ge 0$.

It follows, by using [11] - Chapter V - Proposition 1.2, that bv is a vector lattice with respect to the order induced by the cone C.

We recall that the modulus of $a \in bv$, denoted by $|a|_{bv}$, is defined by

$$(\left|a\right|_{bv})_n \coloneqq \left|\alpha\right| + \sum_{k=n}^{\infty} \left|a_k - a_{k+1}\right|, \, \forall n \ge 0.$$

If $\alpha = 0$, for all sequences from bv, the corresponding space is denoted by bv_0 , and the latter is also vector lattice.

We mention that bv is also a Banach lattice, but this is not important for this paper.

Then we have:

Theorem 2.5. Let $0 , <math>p \neq 2$. Then H_d^p is linear-topological isomorphic (but not latticially isomorphic) to the Copson space of order p, cop(p).

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The isomorphism $T: H^p_d \to \operatorname{cop}(p)$ is given, for $x = (x_n)_{n \ge 0} \in H^p_d$, by $T(x) := u = (u_k)_{k \ge 0} \in \operatorname{cop}(p)$, in the following way:

$$u_{k} = (k+1) \left[(k+1)^{1-2/p} x_{k} - (k+2)^{1-2/p} x_{k+1} \right], \ k \ge 0.$$

 $T^{-1}: \operatorname{cop}(p) \to H^p_d$ is given by

$$x_n = (n+1)^{2/p-1} \sum_{k=n}^{\infty} \frac{u_k}{k+1}, n \ge 0.$$

Moreover,

$$\|x\|_{H^p_d} = \left(\sum_{n=0}^{\infty} (n+1)^{p-2} (|x_n|_{bv_0})_n^p\right)^{1/p} = \|T(x)\|_{cop}(p), \ \forall x \in H^p_d.$$

Proof. Obvious by inspection.

Corollary 2.6. Let $1 , <math>p \neq 1$. Then $(H_d^p)^*$ is linear-topologically (but not latticially) isomorphic to $d(p^*)^{\times}$, where $\frac{1}{p^*} + \frac{1}{p} = 1$.

Proof. By using Theorem 6.8 and Corollary of Theorem 12.17 - [2], we get that $cop(p)^* = d(p^*)$.

The particular case p = 2 is of interest view the fact that Hankel operators act nicely on H_d^2 , as we see in the next section.

Corollary 2.7. (1) $H_d^2 \xleftarrow{T} \operatorname{cop}(2)$ by the latticial isometry: T(x) = u, where $u_k = (k+1)[x_k - x_{k+1}], k \ge 0$, and,

$$T^{-1}(u) = x, x_n = \sum_{k=n}^{\infty} \frac{u_k}{k+1}, n \ge 0.$$

Moreover T^* maps isometrically $(H_d^2)^*$ onto d(2).

(2) H_d^2 is not isomorphic to ℓ^2 , but $(H_d^2)^*$ is isomorphic to $S(H_d^2) = d(2)$.

(3) H_d^2 is a reflexive Banach space.

Proof. (1) Is a particular case of the previous Theorem and Corollary.
(2) By using a more generally fact - Proposition 15.13 [2].
(3) By (2).

3. Hankel Operators on H_d^2

We saw in Corollary 2.7 that H_d^2 is not isomorphic to a Hilbert space. However $H_d^2 \subset H^2$, and the restriction of a Hankel operator (with an appropriate symbol) to H_d^2 behaves very likely to a classical Hankel operator on H^2 .

More specific we show in Theorem 4.1 [10] that a Hankel operator $H_{\bar{f}}$, with a decreasing sequence of Taylor coefficients, is a linear and bounded operator on H_d^2 if and only if $f \in BMOA_d^+ := \mathcal{M}_d^+ \cap BMOA$.

In order to be self-contained we give the proof of this result.

First we recall the definition of *BMOA*.

Following [12] we consider first a function $f \in L^2(\partial \mathbb{D})$ and I an interval contained in $\partial \mathbb{D}$. We write the mean of f over I as

$$f_I = \frac{1}{|I|} \int_I f(\theta) d\theta,$$

where |I| denotes the length of I. f is said to have bounded mean oscillation on $\partial \mathbb{D}$ if

$$\|f\|_{BMO} = \sup_{I} \left[\frac{1}{|I|} \int_{I} |f(\theta) - f_{I}|^{2} d\theta\right]^{1/2} < \infty.$$

Let *BMO* denote the space of all functions $f \in L^2(\partial \mathbb{D})$ having bounded mean oscillation. It can be checked that *BMO* is a Banach space modulo constants. Now let *BMOA* the intersection of *BMO* with H^2 and *BMOA*(\mathbb{D}) be the space consisting of harmonic extensions \hat{f} of functions in *BMOA*.

Since BMOA is only a Banach space modulo constants we consider on the BMOA the norm

$$\|f\| = \|f\|_{BMO} + |\hat{f}(0)|.$$

BMOA equipped with this norm is then equivalent to the dual of H^1 , as it follows by using [12] Theorem 8.3.8 (the celebrated theorem of Fefferman). The bilinear map which realizes this duality is given by

$$\langle f, g \rangle = \frac{1}{2\pi} \int_0^{2\pi} f(\theta) \overline{g(\theta)} d\theta,$$

where $f \in H^1$, $g \in BMOA$.

In the sequel we present some results concerning the behaviour of Hankel matrices on H_d^2 .

Let $(a_n)_{n\geq 0}$ be a sequence of positive real numbers with $\sum_n a_n^2 < \infty$. The infinite matrix

	a_1	a_2	a_3	a_4)
	a_2	a_3	a_4		
$A \coloneqq$	a_3	a_4			,
	a_4 :				
	(:	÷	:	÷	:)

having the constant entries on each skew-diagonal, is called a *Hankel* matrix.

To each Hankel matrix we can associate a Hankel operator mapping H^2 into $\overline{H^2}$, denoted by H_f , where f is an anti-analytic function on the unit disk with Taylor coefficients a_n , $n \ge 0$, in the following way:

$$H_f g = (I - p)(fg),$$

for $g \in H^2$, where P is the Szegö projection, that is the orthogonal projection from $L^2(\partial D)$ onto H^2 .

A natural question about this operator is to determine the property of f such that H_f is bounded. It is well-known [12] that the answer is as follows:

Theorem of Nehari H_f is bounded if and only if f belongs to BMOA.

Denote by ℓ_d^2 the subspace C - C of ℓ^2 , where $C := \{a = (a_n)_{n \ge 0} \in \ell^2; a_n \downarrow_n 0\}$. ℓ_d^2 is equipped with the norm

$$\|a\| := \inf_{a=a^1-a^2, a^1, a^2 \in C} \left((\sum_{n=0}^{\infty} (a_n^1)^2)^{1/2} + (\sum_{n=0}^{\infty} (a_n^2)^2)^{1/2} \right) \sim \left(\sum_{n=0}^{\infty} (|a|_{bv_0})_n^2 \right)^{1/2}.$$

Of course, so equipped, ℓ_d^2 is a Banach lattice isomorphic to H_d^2 .

Similarly, in our context we have:

Theorem 3.1. Let A be the Hankel matrix defined as above, where the sequence $(a_n)_{n\geq 0}$ is, moreover, monotone decreasing $a_n \downarrow_n 0$. Then A determine a bounded operator from ℓ_d^2 into ℓ_d^2 if and only if $\sup_{n\geq 0}(n+1)a_n < \infty$, that is the Hankel operator H_f , where $f(z) \coloneqq \sum_{n=0}^{\infty} a_n \overline{z}^n, z \in \mathbb{D}$, is bounded on H_d^2 if and only if $\overline{f} \in BMOA_d$.

Proof. Assume that $\sup_n (n+1)a_n < \infty$. Then by using [13] we get that $\overline{f} \in BMOA_d$. From [12]-9.2.3, we get that H_f is bounded on H^2 , and $||H_f||$ is comparable with the norm $||A||_{B(\ell^2)}$. Next let us take the sequence $b = (b_n)_{n\geq 0} \in C := \mathcal{M}_d^+ \cap H^2$, and $g = \sum_{n=0}^{\infty} b_n e^{\operatorname{int}}, t \in [0, 2\pi]$. Then $H_f g = A \cdot b \in C$. Then it is easy to see that H_f is a bounded map on $H_d^2 := C - C$.

Conversely assume that A determines a bounded operator (which is the Hankel operator H_f) on H_d^2 . Consider the unit vector function

$$k_z(t) = \frac{\sqrt{1 - |z|^2}}{1 - \overline{z}e^{it}} \in H^2, \, z \in \mathbb{D}.$$

This function has the Taylor coefficients $b_n = r^n e^{-int}$, $n \ge 0$, where $z = re^{it}$. Of course $|b_n| \downarrow_n 0$. It is well-known (see [12]-9.2.2) and easy to see that

$$\left\| H_{f}k_{z} \right\|_{H^{2}} = \widehat{\left| \, \overline{f} \, \right|^{2}} \left(z \right) - \left| \, \widehat{\overline{f}} \, \left(z \right) \right|^{2}$$

for all $z \in \mathbb{D}$, where \hat{f} is the Poisson extension of f on \mathbb{D} .

Let k_z^1 be the analytic function with Taylor coefficients $|b_n|$, for all $n \ge 0$. Then, of course, $k_z^1 \in H_d^2$, with $||k_z^1||_{H_d^2} = ||k_z||_{H^2} = 1, z \in \mathbb{D}$.

On the other hand the Taylor coefficients of $H_f k_z$ are dominated by the corresponding Taylor coefficients of $H_f k_z^1$. Moreover, the sequence of Taylor coefficients of $H_f k_z^1$ is a decreasing sequence running to 0.

Consequently $\|H_f k_z\|_{H^2} \le \|H_f k_z^1\|_{H^2} = \|H_f k_z^1\|_{H^2_d} \le M < \infty$, where M is the operator norm of H_f on H^2_d .

It follows that $|\widehat{f}|^2(z) - |\widehat{f}(z)|^2$ is a bounded function on \mathbb{D} , and, by using Theorem 8.3.4 -[12], $\overline{f} \in BMOA$. Since $a_n \downarrow_n 0$, in view of [13], it follows that $\sup_{n\geq 0}(n+1)a_n < \infty$.

Now we study Hankel operators on H_d^2 , first we recall the definition of the VMOA space. The closure of analytic polynomials in BMOA is called *the VMOA*, and denote by $VMOA_d^+$ the cone $\mathcal{M}_d^+ \cap VMOA$. Here, of course, the space VMOA is equipped with the norm induced by that of BMOA.

Then we have the analogue of Theorem 9.3.2 [12].

Theorem 3.2. If $f \in H^2_d \cap \mathcal{M}^+_d$, $H_{\bar{f}}$ is a compact operator from H^2_d to $(H^2_d)^{\perp} := (H^2)^{\perp} \cap L^2(\partial \mathbb{D})_d$ if and only if $VMOA^+_d$.

Proof. Since $H_{\bar{f}}$ is given by the matrix:

	a_1	a_2	a_3	a_4)
$A \coloneqq$	a_2	a_3	a_4		
$A \coloneqq$	a_3	a_4			,
	a_4		•••		
	(:	÷	:	÷	:)

where $f(\theta) = \sum_{k=0}^{\infty} a_k e^{ik\theta}$, $\theta \in [0, 2\pi)$, $a_k \downarrow_k 0$, it is easy to see that $H_{\overline{f}}$ maps H_d^2 into $L^2(\partial \mathbb{D})_d^- := \{g \in L^2(\partial \mathbb{D}), g(\theta) = \sum_{k=1}^{\infty} a_k e^{-ik\theta}; (a_k)_k \in \ell_d^2\}.$

Assume that $H_{\bar{f}}$ is a compact operator defined on H_d^2 into $L^2(\partial \mathbb{D})_d^-$, denoted simply by $\overline{H_d^2}$. We know that $H_{\bar{f}}$ maps any sequence weakly convergent to zero in a sequence norm-convergent to zero, with respect to the norm of ℓ_d^2 .

Now, let k_z^1 be the analytic function $k_{|z|}(t) = \frac{\sqrt{1-|z|^2}}{1-\overline{z}e^{it}}, z \in \mathbb{D}$, and $t \in \mathbb{R}$. Clearly $k_z^1 \in H_d^2 \cap \mathbb{M}_d^+$. Since $\|k_z^1\|_{H_d^2} = \|k_{|z|}\|_{H^2} = 1, \forall z \in \mathbb{D}$, the set $\{k_z^1; z \in \mathbb{D}\}$ is bounded in H_d^2 .

Because H_d^2 is a reflexive Banach space, $\{k_z^1; z \in \mathbb{D}\}$ is a relatively weakly compact set in H_d^2 . By using Eberlein's theorem (see Theorem 11.1 - Chapter IV [11]), each subsequence of $\{k_{z_n}^1\}_n$ contains a weakly convergent subsequence in H_d^2 .

Since $k_{z_n}^1 \to 0$ whenever $|z_n| \to 1^-$, it follows that each subsequence of $(H_{\bar{f}}(k_{z_n}^1))_n$ contains a norm-convergent subsequence in $\overline{H_d^2}$, hence also in $\overline{H^2}$. By using the proof of Theorem 9.3.2 - [12] it follows that this limit is equal to 0. Consequently $\|H_{\bar{f}}(k_{z_n}^1)\|_{H^2_d} \to 0$, and also

$$\widehat{\left|\overline{f}\right|^{2}}\left(\left|z_{n}\right|\right)-\left|\overline{\widehat{f}}\left(z\right)\right|^{2}=\left\|H_{\overline{f}}\left(k_{z_{n}}^{1}\right)\right\|_{H^{2}}^{2}\rightarrow0.$$

By using Theorem 8.4.2 [12], it follows that $f \in VMOA$. By the hypothesis concerning f, we have $f \in VMOA_d^+$.

Conversely, let $f \in VMOA_d^+$, $f(\theta) = \sum_{k=0}^{\infty} a_k e^{ik\theta}$, with $a_k \downarrow_k 0$. By using Theorem 8.4.7 [12], it follows that there is a $g \in C(\partial \mathbb{D})$ such that f = Pg, P being the Szego projection. By 9.2.3 -(4) -[12] we have $H_{\bar{f}} = H_{\bar{g}}$.

It remains, consequently, to prove that $H_g: H_d^2 \to \overline{H_d^2}$, where $g \in C(\partial \mathbb{D})$, is a compact operator. By using [12], we know that $f \to H_f$ is a bounded map from $L^{\infty}(\partial \mathbb{D})$ into the space $B(H^2, (H^2)^{\perp})$, and the norm $||H_f||_{B(H_d^2, \overline{H_d^2})}$ is, clearly, dominated by $||H_f||_{B(H^2, (H^2)^{\perp})}$. Thus it is enough to show that $H_f: H_d^2 \to \overline{H_d^2}$, where $f(t) = e^{\text{int}}, n \in \mathbb{Z}$, is a compact operator.

But it is easy to see that, for $n \in \mathbb{N}$, $H_f = 0$, and, for n < 0, H_f is a finite rank operator.

As we have seen above a Hankel operator $H_{\bar{f}}$, with $f(z) = \sum_{k=0}^{\infty} a_k e^{ikz}$, $z \in \mathbb{D}$, $a_k \downarrow_k 0$, acting on H_d^2 , is bounded if and only if $\sup_n (n+1)a_n < \infty$.

It is natural to ask ourselves if there is something similar in the compact case.

The following result gives us the answer:

Theorem 3.3. Let $f(z) = \sum_{k=0}^{\infty} a_k e^{ikz}$, $z \in \mathbb{D}$, $a_k \downarrow_k 0$, an analytic function.

Then $H_{\bar{f}}$ is a compact operator acting on H_d^2 if and only if $\lim_{n\to\infty}(n+1)a_n = 0.$

Proof. Necessity. Let $H_{\bar{f}}$ be a compact operator. Then by Theorem 3.2, $f \in VMOA \subset \mathcal{B}_0$, where \mathcal{B}_0 is the closure of all analytic polynomials in the Bloch space \mathcal{B} . By using Theorem 1.10(a) and Remark 1.19 - [3], it follows that

$$\sum_{j=0}^n ja_j = o(n), \ \forall n$$

Since $a_j \downarrow_j 0$, we have

$$a_n \frac{n(n+1)}{2} = o(n)$$
, thus $\lim_{n \to \infty} (n+1)a_n = 0$

Sufficiency. Assume that $a_n \downarrow_n 0$, and $\lim_n (n+1)a_n = 0$. By Theorem 7.2.2-(3), vol. I-[6], it follows that $g(t) := \sum_{n=1}^{\infty} a_n \sin nt$, $t \in [0, 2\pi)$, is a continuous function.

If $P: L^2 \to H^2$ is the Szegö projection, $P(h)(t) := \sum_{n=0}^{\infty} b_n e^{int}$, for $h(t) = \sum_{n=-\infty}^{\infty} b_n e^{int}$, $\forall (b_n)_{n \in \mathbb{Z}} \in \ell^2(\mathbb{Z})$, then, by using Theorem 8.4.7 [12], $P(C(\partial \mathbb{D})) = VMOA$.

Consequently,

$$P(g)(t) = \sum_{n=1}^{\infty} \frac{1}{2i} a_n e^{int} = \frac{1}{2i} [f(t) - a_0] \in VMOA,$$

thus $f \in VMOA$, and, by using Theorem 3.2, it follows that $H_{\bar{f}}$ is a compact operator. Here, of course, $f(z) = \sum_{n=0}^{\infty} a_n z^n$, $z \in \mathbb{D}$.

Remark 3.4. (1) By using the proof of Theorem 3.1 we get easily that, for $f \in BMOA_d^+$, the subspace, $Hank_{BMOA_d}$, of all Hankel operators $H_{\bar{f}} \in B(H_d^2, \overline{H_d^2})$ is a Banach subspace of the similar space $Hank_{BMOA}$.

(2) Similarly, for $f \in VMOA_d^+$, the space, Hank $comp_{VMOA_d}$, of all compact Hankel operators from H_d^2 into $\overline{H_d^2}$, is a Banach subspace of the similar space Hank $comp_{VMOA}$.

We intend now to characterize the nuclear Hankel operators $H_{\bar f},$ $f\in \mathcal{M}_d^+ \text{ acting on } H^2_d.$

Let $S_1(H_d^2, \overline{H_d^2})$ the space of all nuclear operators acting from H_d^2 into $\overline{H_d^2}$, equipped with the usual nuclear norm.

Denote by $(\text{Bes}_1)_d^+ = \{f(z) = \sum_{n=0}^{\infty} a_n z^n, a_n \downarrow_n 0; \|f\|_{\text{Bes}_1}$ $:= \int_D |f''(z)| dA(z) < \infty \}.$

Then we have the analogue of Theorem 9.4.4 - [12]:

Theorem 3.5. If $f(z) = \sum_{n=0}^{\infty} a_n z^n$, with $a_n \downarrow_n 0$, then $f \in (\text{Bes}_1)_d^+$ if and only if $H_{\bar{f}} \in S_1(H^2, \overline{H_d^2})$. Moreover the corresponding norms are equivalent.

Proof. Let $f \in (\text{Bes}_1)_d^+$. Then, by using the proof of Theorem 9.4.4 - [12], we get

$$H_{\overline{f}} = \int_{\mathbb{D}} (1 - |w|^2) \overline{g}(w) H_{\overline{K}_w} dA(w),$$

where $g(w) = f''(z) / \overline{w}^2 \in L^1(\mathbb{D}, dA)$, and $K_w(t) = \frac{1}{1 - \overline{w}e^{it}}$, with |w| < 1.

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Since $H_{\overline{K}_w}h = \hat{h}(w)(\overline{K}_w - 1)$, $\forall h \in H_d^2$, we have that $H_{\overline{K}_w}$ is a rank 1 operator on H_d^2 , into $\overline{H_d^2}$. Now, since, for $w = |w|e^{i\theta}$,

$$(\overline{K}_w - 1)(t) = (\frac{1}{1 - we^{-it}} - 1) = |w|e^{i\theta}e^{-it}(\sum_{n=0}^{\infty}w^ne^{-int}),$$

we have

$$\sum_{n=k}^{\infty} ||w|^{n+1} e^{i(n+1)\theta} - |w|^{n+2} e^{i(n+2)\theta}| = \sum_{n=k}^{\infty} |w|^{n+1} |1-w|, \ \forall k \in \mathbb{N},$$

 $\text{consequently } \|\overline{K}_w - 1\|_{\overline{H^2_d}} \leq \frac{1}{(1 - |w|^2)^{1/2}} < \infty, \text{ and } H_{\overline{K}_w} : H^2_d \to \overline{H^2_d} \text{ is }$

a rank 1 linear operator.

Thus, by Remark 3.4 - (1), and by the proof of Theorem 9.4.4 - [12], we have that

$$\|H_{\overline{K}_w}\|_{B(H^2_d, \overline{H^2_d})} \approx \frac{|w|}{1-|w|^2}$$

Consequently, reasoning as in [12]-pages 200-201, we have that:

$$\|H_{\bar{f}}\|_{S_1(H^2_d,\overline{H^2_d})} \text{ is dominated by } \|f\|_{(\operatorname{Bes}_1)^+_d} < \infty.$$

Moreover, there is a constant C > 0, independent of f, such that

$$\|H_{\bar{f}}\|_{S_1(H^2_d,\overline{H^2_d})} \le C \|f\|_{(\text{Bes}_1)_d},$$

for $f \in (\operatorname{Bes}_1)^+_d$.

Conversely, let $H_{\bar{f}} \in S_1(H_d^2, \overline{H_d^2})$, with $a_n \downarrow_n 0$. Since H_d^2 has a Schauder basis [10], it follows that $H_{\bar{f}} \in (H_d^2)^* \widehat{\otimes}_{\pi} \overline{H_d^2}$, that is $H_{\bar{f}} = \sum_{n=1}^{\infty} \lambda_n f'_n \otimes g_n$, with $f'_n \in (H_d^2)^*$, $g_n \in \overline{H_d^2}$, and $\sum_n \lambda_n \|f'_n\|_{(H_d^2)^*} \|g_n\|_{H_d^2} < \infty$. By using Theorem 2.1 we can assume $f'_n \in d(2) \subset H^2$. Then we have, uniformly with respect to n, $\|f'_n\|_{(H^2_d)^*} \approx \|f'_n\|_{d(2)}$, and $\|f'_n\|_{(H^2_d)^*} \geq C \|f'_n\|_{H^2}$, where C > 0 is a constant not depending on n.

Consequently we have $||H_{\bar{f}}||_{S_1(H^2, \overline{H^2})} = \sum_n \lambda_n ||f'_n||_{H^2} ||g_n||_{H^2} \le C^{-1} \sum_n \lambda_n ||f'_n||_{(H^2_d)^*} ||g_n||_{\overline{H^2_d}} = ||H_{\bar{f}}||_{S_1(H^2_d, \overline{H^2_d})} < \infty$, that is $H_{\bar{f}} \in S_1(H^2, \overline{H^2})$, and, by using the proof of Theorem 9.4.4 -p. 201 -[12], it follows that

$$\int_{\mathbb{D}} |f''| dA(w) < \infty$$

Thus $f \in (\operatorname{Bes}_1)_d^+$.

What about the characterization of Hankel operators from other ideals of operators, similar to Schatten classes S_p , 1 ?

We were only been able to give a characterization of Hankel operators mapping H_d^2 into $\overline{H^2}$, which are 2-*nuclear operators*. (See [8], [9].)

We recall the definition of the analytic Besov space Bes_2 , [12] as follows:

 $\mathrm{Bes}_2\coloneqq\{f:\mathbb{D}\to\mathbb{C},\,f\text{ analytic function such that }$

$$\|f\|_{\operatorname{Bes}_2} := \left(\int_{\mathbb{D}} |f'(z)|^2 dA(z)\right)^{1/2} < \infty\}.$$

An operator $T: X \to Y, X, Y$ Banach spaces is called a 2-nuclear operator [9], and is writting $T \in N_2(X, Y)$, if

$$T = \sum_{i=1}^{\infty} a_i \otimes y_i, \text{ such that } l_{2,X'}(a) \coloneqq (\sum_{i=1}^{\infty} \|a_i\|^2)^{1/2} < \infty,$$

and $w_{2,Y}(y) \coloneqq \sup_{\|y'\|_{F'} \le 1} (\sum_{i=1}^{\infty} |y'(y_i)|^2)^{1/2} < \infty.$

 $T \in N_2(X, Y)$ is a Banach space when equipped with the norm

$$||T||_{N_2(X, Y)}; = \inf\{l_{2, X'}(a)w_{2, Y}(y)\}.$$

Now let us denote by $(\mathrm{Bes}_2)^+_d\coloneqq\mathrm{Bes}_2\cap\mathcal{M}^+_d.$ Then we have:

Theorem 3.6. Let $f \in \mathcal{M}_d^+$, $f(z) = \sum_{k=0}^{\infty} a_k z^k$, $z \in \mathbb{D}$. Then

$$H_{\overline{f}} \in N_2(H_d^2, \overline{H^2}) \Leftrightarrow f \in (\operatorname{Bes}_2)_d^+ \Leftrightarrow \sum_{k=0}^\infty a_k^2(k+1) < \infty.$$

Moreover

$$\|H_{\bar{f}}\|_{N_2(H^2_d,\overline{H^2})} \approx (\sum_{k=0}^{\infty} a_k^2(k+1))^{1/2}.$$

Proof. The second equivalence in the statement of the theorem is nothing else than a particular case of Corollary 1.3 - [5].

So, it remains only to prove

$$H_{\overline{f}} \in N_2(H_d^2, \overline{H^2}) \Leftrightarrow f \in (\operatorname{Bes}_2)_d^+.$$

Let $f \in (\text{Bes}_2)_d^+$. By using Theorem 9.4.13 - [12], and Proposition 2.11.27-[9], we get that $H_{\bar{f}} \in N_2(H_d^2, \overline{H^2})$.

Conversely, let $H_{\bar{f}} \in N_2(H_d^2, \overline{H^2})$. Then, $H_{\bar{f}}T^{-1} \in N_2(\operatorname{cop}(2), H^2)$,

where $T^{-1}: \operatorname{cop}(2) \to H^2_d$ is the isomorphism given by Corollary 1.7,

$$T^{-1}(u) = x, x_n = \sum_{k=n}^{\infty} \frac{|u_k|}{k+1}, n \ge 0.$$

Equivalently, it follows that the operator given by the product of matrices

					(1	1	1	1)
	(a_1)	a_2	a_3	a_4) [-	$\overline{\frac{2}{1}}$	3	4	
	a_2	a_3	a_4		0	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	
H :=	a_3	a_4	•••	•••	_0	0	1	1	
	$ a_4 $	•••	•••	•••		Ū.	$\overline{3}$	4	
	(:	÷	÷	÷	:) 0	0	0	$\frac{1}{4}$	
					(:	÷	÷	4	:)

belongs to $N_2(\operatorname{cop}(2), H^2).$

Hence, by using relation (6.5) - [2] and Proposition 2.11.27 - [9], we get that

$$H = \begin{pmatrix} a_1 & \frac{a_1 + a_2}{2} & \frac{a_1 + a_2 + a_3}{3} & \frac{a_1 + a_2 + a_3 + a_4}{4} & \cdots \\ a_2 & \frac{a_2 + a_3}{2} & \frac{a_2 + a_3 + a_4}{3} & \cdots & \cdots \\ a_3 & \frac{a_3 + a_4}{2} & \cdots & \cdots & \cdots \\ a_4 & \cdots & \cdots & \cdots & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ & & & \vdots & & \vdots \\ \end{pmatrix} \in S_2(\ell_2, \ell_2).$$

Thus, it follows that

$$\sum_{i=1}^{\infty} a_i^2 + \frac{1}{2^2} \sum_{i=1}^{\infty} (a_i + a_{i+1})^2 + \frac{1}{3^2} \sum_{i=1}^{\infty} (a_i + a_{i+1} + a_{i+2})^2 + \dots < \infty.$$

Since the sequence $(a_i)_i$ is monotone decreasing, we get

$$\sum_{i=1}^{\infty} i a_i^2 = \sum_{i=1}^{\infty} a_i^2 + \sum_{i=2}^{\infty} a_i^2 + \sum_{i=3}^{\infty} a_i^2 + \dots < \infty,$$

that is $f \in \text{Bes}_2^+$.

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