

## A FUGLEDE-PUTNAM TYPE THEOREM FOR ALMOST NORMAL OPERATORS WITH FINITE $k_1$ -FUNCTION

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### Abstract

In this note, we will prove that operators  $T \in L(\mathcal{H})$  with finite  $k_1$  function satisfy a Fuglede-Putnam type modulo the Hilbert-Schmidt class, that is, for arbitrary  $X \in L(\mathcal{H})$  with  $TX - XT \in \mathcal{C}_2(\mathcal{H})$  implies  $T^*X - XT^* \in \mathcal{C}_2(\mathcal{H})$ .

1. Let  $\mathcal{H}$  be a separable, infinite dimensional, complex Hilbert space, and denote by  $L(\mathcal{H})$  the algebra of all bounded linear operators on  $\mathcal{H}$  and by  $\mathcal{C}_p(\mathcal{H})$  (or simply  $\mathcal{C}_p$ ) the Schatten-von Neumann  $p$ -classes and by  $|\cdot|_p$ ,  $p \geq 1$ , their respective norm. In this note only the particular classes corresponding to  $p = 1, 2$  will be used, that is the trace-class  $\mathcal{C}_1$  and the

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class of Hilbert-Schmidt operators  $\mathcal{C}_2$ . For arbitrary operators  $S, T \in L(\mathcal{H})$ ,  $[S, T]$  will denote their commutator  $ST - TS$  and  $D_S$  will denote the self-commutator of  $S$ , that is  $[S^*, S]$ . An operator  $S \in L(\mathcal{H})$  is called *almost normal* when  $D_S \in \mathcal{C}_1(\mathcal{H})$  and the class of operators defined on  $\mathcal{H}$  which are almost normal will be denoted by  $\mathcal{AN}(\mathcal{H})$ .

**2.** Voiculescu's Conjecture 4 ( $C_4$ ), (cf. [3] or [4]) states that for  $T \in \mathcal{AN}(\mathcal{H})$ , there exists  $S \in \mathcal{AN}(\mathcal{H})$  such that  $T \oplus S = N + K$ , where  $N$  is a normal operator and  $K$  is a Hilbert-Schmidt operator. Under the assumption that conjecture ( $C_4$ ) has a positive answer, one can easily prove that almost normal operators satisfy a Fuglede-Putnam type theorem, that is, if  $T \in \mathcal{AN}(\mathcal{H})$  and  $X \in L(\mathcal{H})$  is such that  $[T, X] \in \mathcal{C}_2(\mathcal{H})$ , then  $[T^*, X] \in \mathcal{C}_2(\mathcal{H})$ , and the family of operators on  $\mathcal{H}$  that have such a property will be denoted by  $\mathcal{FP}_2(\mathcal{H})$ . This results from a theorem of Weiss [5] that states that if  $N \in L(\mathcal{H})$  is a normal operator and  $X \in L(\mathcal{H})$  such that  $[N, X] \in \mathcal{C}_2(\mathcal{H})$ , then  $[N^*, X] \in \mathcal{C}_2(\mathcal{H})$  and  $\|[N, X]\|_2 = \|[N^*, X]\|_2$  (in particular  $N \in \mathcal{FP}_2(\mathcal{H})$ ), and the details are left for the reader.

Let  $\mathcal{P}$  and  $\mathcal{R}_1^+$  denote the set of finite rank orthogonal projections and the finite rank positive semidefinite contractions, respectively, and

$$q_p(T) = \liminf_{P \in \mathcal{P}} \|((I - P)TP)\|_p,$$

$$k_p(T) = \liminf_{A \in \mathcal{R}_1^+} \|[T, A]\|_p,$$

where the lim infs are with respect to the natural order.

In [1], it was proved that almost normal operators  $T$  such that  $q_2(T) < \infty$  belong to  $\mathcal{FP}_2(\mathcal{H})$ .

3. It is natural to ask whether almost normal operators  $T$  with  $k_2(T)$  finite, and implicitly  $k_2(T) = 0$  (cf. [2]), belong to  $\mathcal{FP}_2$ .

We will prove that such a result holds under the hypothesis that  $k_1(T)$  is finite.

**Theorem 1.** *If  $T \in \mathcal{AN}(\mathcal{H})$  and  $k_1(T) < \infty$ , then  $T \in \mathcal{FP}_2(\mathcal{H})$ .*

**Proof.** Let  $T \in \mathcal{AN}(\mathcal{H})$  with  $k_1(T) < \infty$ , let  $A_n \in \mathcal{R}_1^+$ ,  $n \geq 1$ , so that  $A_n \uparrow I$  and  $\|[A_n, T]\|_1 \downarrow k_1(T)$ , and let  $X \in L(\mathcal{H})$  with  $[T, X] =: R \in \mathcal{C}_2(\mathcal{H})$ .

It will be enough to prove that

$$\limsup_{n \rightarrow \infty} |\operatorname{tr}[A_n(QQ^* - RR^*)]| < \infty,$$

where  $Q := T^*X - XT^*$ .

Write  $A_n RR^* = A_n TXX^*T^* - A_n TXT^*X^* - A_n XTX^*T^* + A_n XTT^*X^*$   
 $= a - b - c + d$  and  $A_n QQ^* = A_n T^*XX^*T - A_n T^*XTX^* - A_n XT^*X^*T +$   
 $A_n XT^*TX^* = A - B - C + D$ , where  $a, b, \dots, C, D$  are the terms in the order they appear in these expansions.

First

$$|\operatorname{tr}(D - d)| \leq |D - d|_1 \leq \|X\|^2 |D_T|_1. \quad (1)$$

Then

$$\begin{aligned} |\operatorname{tr}(B - c)| &= |\operatorname{tr}(A_n T^*XTX^* - A_n XTX^*T^*)| \\ &= |\operatorname{tr}(A_n T^*XTX^* - T^*A_n XTX^*)| = |\operatorname{tr}([A_n, T^*]XTX^*)| \\ &\leq \|[A_n, T^*]XTX^*\|_1 \leq \|[A_n, T^*]\|_1 \|XTX^*\| \leq \|[A_n, T^*]\|_1 \|X\|^2 \|T\|, \end{aligned}$$

and then after passing to limit

$$|\operatorname{tr}(B - c)| \leq k_1(T) \|X\|^2 \|T\|. \quad (2)$$

In a similar way,

$$\begin{aligned} |\operatorname{tr}(C - b)| &= |\operatorname{tr}(A_n X T^* X^* T - A_n T X T^* X^*)| \\ &= |\operatorname{tr}(T A_n X T^* X^* - T^* A_n T X T^* X^*)| = |\operatorname{tr}([T, A_n] X T^* X^*)| \\ &\leq |[A_n, T] X T^* X^*|_1 \leq |[A_n, T]|_1 \|X T^* X^*\| \leq |[A_n, T]|_1 \|X\|^2 \|T\|, \end{aligned}$$

and thus

$$|\operatorname{tr}(C - b)| \leq k_1(T) \|X\|^2 \|T\|. \quad (3)$$

Finally,

$$\begin{aligned} |\operatorname{tr}(A - a)| &= |\operatorname{tr}(A_n T^* X X^* T - A_n T X X^* T^*)| \\ &= |\operatorname{tr}(T A_n T^* X X^* - T^* A_n T X X^*)| \leq |T A_n T^* - T^* A_n T|_1 \|X\|^2. \end{aligned}$$

Furthermore,

$$\begin{aligned} |T A_n T^* - T^* A_n T|_1 &= |T A_n T^* - A_n T T^* - A_n T^* T + A_n T^* T - T^* A_n T|_1 \\ &\leq |[T, A_n] T^*|_1 + |A_n D_T|_1 + |[A_n, T^*] T|_1 \\ &\leq |[T, A_n]|_1 \|T^*\| + |D_T|_1 + |[A_n, T^*]|_1 \|T\| \\ &= 2|[T, A_n]|_1 \|T\| + |D_T|_1, \end{aligned}$$

and consequently, by passing to limit, we have

$$|\operatorname{tr}(A - a)| \leq (2k_1(T) \|T\| + |D_T|_1) \|X\|^2. \quad (4)$$

Using inequalities (1)-(4),

$$\limsup_{n \rightarrow \infty} |\operatorname{tr}[A_n(QQ^* - RR^*)]| \leq 4k_1(T) \|X\|^2 \|T\| + 2|D_T|_1 \|X\|^2,$$

which ends the proof.

The above proof leads to the following.

**Corollary 2.** *If  $T \in \mathcal{AN}(\mathcal{H})$  with  $k_1(T) < \infty$  and  $X \in L(\mathcal{H})$  so that  $[T, X] \in \mathcal{C}_2(\mathcal{H})$ , then  $\|[T^*X]\|_2^2 \leq \|[T, X]\|_2^2 + 4k_1(T) \|X\|^2 \|T\| + 2|D_T|_1 \|X\|^2$ .*

**Corollary 3.** *If  $T, S \in \mathcal{AN}(\mathcal{H})$  with  $k_1(T)$  and  $k_1(S) < \infty$  and  $X \in L(\mathcal{H})$  so that  $R := TX - XS \in \mathcal{C}_2(\mathcal{H})$ , then  $Q := T^*X - XS^* \in \mathcal{C}_2(\mathcal{H})$ .*

**Proof.** Let  $T, S, X$  as in the hypothesis. It is straightforward to see that

$$k_1(T \oplus S) \leq k_1(T) + k_1(S) < \infty.$$

Setting  $\tilde{X} = \begin{pmatrix} 0 & X \\ 0 & 0 \end{pmatrix}$ , then  $(T \oplus S)\tilde{X} - \tilde{X}(T \oplus S) = \begin{pmatrix} 0 & R \\ 0 & 0 \end{pmatrix}$ , and

thus  $(T \oplus S)\tilde{X} - \tilde{X}(T \oplus S) \in \mathcal{C}_2$ . Therefore  $(T \oplus S)^*\tilde{X} - \tilde{X}^*(T \oplus S)^* = \begin{pmatrix} 0 & Q \\ 0 & 0 \end{pmatrix} \in \mathcal{C}_2$ . Consequently,  $Q \in \mathcal{C}_2(\mathcal{H})$ .

**Corollary 4.** *If  $T \in \mathcal{AN}(\mathcal{H})$  with  $k_1(T) < \infty$  and  $X \in L(\mathcal{H})$  so that  $TX - XT^* \in \mathcal{C}_2(\mathcal{H})$ , then  $T^*X - XT \in \mathcal{C}_2(\mathcal{H})$ .*

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